

Canadian Lawyers Liability Assurance Society

2017/2018 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.

Please answer ALL questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Goodmans LLP

2. Address of principal office: 333 Bay Street, Suite 3400, Bay Adelaide Centre
Toronto, ON M5H 2S7

Phone: (416) 979-2211 Fax: (416) 979-1234

3. Address, phone and fax numbers of other office(s):

4. Management or service companies, date(s) established and services provided:
Goodlaw Management Inc.
Incorporated: June 24, 1981 owned by Goodmans LLP

5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no
If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

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6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2017:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

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9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>	<u>Last Year</u>
a) Corporate and Commercial Law	45.5 %	47.0 %
b) Criminal Law	0.0 %	0.0 %
c) Family Law	0.0 %	0.0 %
d) Intellectual Property	0.5 %	0.5 %
e) Labour Law	0.2 %	0.2 %
f) Litigation	25.4 %	24.4 %
g) Real Estate	3.8 %	3.3 %
h) Securities Law	0.0 %	0.0 %
i) Tax Matters	3.2 %	2.7 %
i) Wills, Estates, Trust	0.5 %	0.4 %
k) Other (Bankruptcy, Admin Law)	20.8 %	21.5 %

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2016. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. However, updates thus reported are not considered official notice of claim to CLLAS.

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

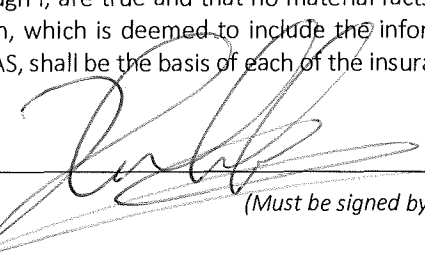
If "yes", please indicate preferred limit option:

☐ \$10M xs \$160M ☐ \$20M xs \$160M
☐ \$30M xs \$160M ☐ \$40M xs \$160M
☐ \$50M xs \$160M ☒ \$60M xs \$160M

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.
16. Please complete Appendix H to provide underwriting information with respect to cyber liability.
17. Please attach as Appendix I copy of the Firm's 2017 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: _____


(Must be signed by a Partner of the Firm)

Name of Signatory: Ken Crofoot, Partner and General Counsel

(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: March 22, 2017

Josephine Wong

From: Riley, Kara <kriley@goodmans.ca>
Sent: March 28, 2017 6:06 PM
To: Josephine Wong
Subject: RE: CLLAS - 2017/2018 Renewal Application

Hi Josephine – thank you for letting me know.

Our official response to Question 6 is “no” (the name of our Firm has not been changed, and no firms have merged into our Firm).

Appreciate your help,

Kara
x3562

***** Attention *****

This communication is intended solely for the named addressee(s) and may contain information that is privileged, confidential, protected or otherwise exempt from disclosure. No waiver of confidence, privilege, protection or otherwise is made. If you are not the intended recipient of this communication, or wish to unsubscribe, please advise us immediately at privacyofficer@goodmans.ca and delete this email without reading, copying or forwarding it to anyone. Goodmans LLP, 333 Bay Street, Suite 3400, Toronto, ON, M5H 2S7, www.goodmans.ca. You may unsubscribe to certain communications by clicking [here](#).

From: Josephine Wong [mailto:josephinewong@axxima.ca]
Sent: Tuesday, March 28, 2017 3:33 PM
To: Riley, Kara
Subject: RE: CLLAS - 2017/2018 Renewal Application

Kara,

I have reviewed the application. Everything looks good except that Question 6 on the application is not answered. Please respond and resend that page.

Regards
Josephine

From: Riley, Kara [mailto:kriley@goodmans.ca]
Sent: March 24, 2017 2:50 PM
To: Josephine Wong <josephinewong@axxima.ca>
Cc: Crofoot, Ken <kcrofoot@goodmans.ca>; Engels, Andrea <aengels@goodmans.ca>; leong, Michelle <mieong@goodmans.ca>; Sonberg, Byron <bsonberg@goodmans.ca>
Subject: RE: CLLAS - 2017/2018 Renewal Application

Hi Josephine,

We are pleased to attach our 2017/2018 renewal application. Please contact me should you have any questions or require additional information.

Thank you,

APPENDIX A

PREDECESSOR FIRMS

Name of Firm: Goodmans LLP

- Goodman & Goodman
- Goodman Phillips & Vineberg
- Goodman Phillips & Vineberg LLP
- Goodmans (January 1, 2001)
- Goodmans LLP, a British Columbia limited liability partnership

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

APPENDIX B
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2017

Name of Firm: Goodmans LLP

	<u>CANADA</u>					<u>OUTSIDE OF CANADA^{/5}</u>	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Other Provinces (Please specify)</u>	<u>U.S.</u>	<u>Other Locations</u>
a) No. of Lawyers ^{/1}			126				
b) No. of Patent & Trademark Agents ^{/2}			1				
c) No. of Non-lawyer Consultants ^{/3}			11				
d) No. of Paralegals			20				
e) No. of Other Employees							
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ^{/4}			66				

^{/1} Including partners, employed lawyers, counsels/of counsels and lawyer consultants (includes 56 partners, 62 associates and 8 consultants)

^{/2} These are not lawyers.

^{/3} Please complete Appendix C if individuals are reported under this category.

^{/4} Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

^{/5} Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

Goodmans LLP (Toronto)
CLLAS List of Legal Professionals
Appendix B

Partners	Bar Admission Date	Hire Date	Partnership Date
William V. Alcamo	1976	July 1, 1974	February 1, 1982
Mark Blidner	1978	January 4, 1999	January 1, 2000
Kenneth R. Wiener	1981	March 7, 1988	February 1, 1991
John D. Alton	1983	May 9, 1983	February 1, 1989
Kenneth W. Crofoot	1984	January 1, 1980	February 1, 1989
Mark R. Noskiewicz	1987	June 17, 1985	February 1, 1993
Joe Conforti	1987	September 9, 1991	February 1, 1993
Michael Koch	1988	July 1, 1999	January 1, 2001
Carolyn Stamegna	1988	January 1, 2004	January 1, 2004
Francy Kussner	1989	June 1, 1987	February 1, 1995
Brian F. Empey	1990	June 20, 1988	January 1, 1996
Amalia Berg	1990	August 26, 2002	January 1, 2008
Glenn Ernst	1990	July 2, 2003	January 1, 2006
Jessica A. Kimmel	1991	June 12, 1989	January 1, 1997
L. Joseph Latham	1991	September 17, 1990	January 1, 1997
Neill May	1992	May 25, 1990	January 1, 1998
Ira Barkin	1992	June 3, 1990	January 1, 1999
David Conklin	1993	October 1, 2007	January 1, 2013
Nando De Luca	1996	May 13, 1994	January 1, 2003
David M. Scrimger	1997	June 5, 1995	January 1, 2003
David L. Wiseman	1997	July 4, 1995	January 1, 2004
Michelle Roth	1997	July 31, 1995	January 1, 2003
Joe Cosentino	1997	October 6, 1997	January 1, 2006
Alan Bowman	1997	April 27, 1998	January 1, 2004
Jeffrey Shore	1998	May 8, 1995	January 1, 2007
Monique McAlister	1998	May 8, 1995	January 1, 2007
Julie Rosenthal	1998	May 8, 1995	January 1, 2008
Bill Gorman	1998	September 25, 2000	January 1, 2005
Suzy Kauffman	1999	May 13, 1996	January 1, 2006
Bram Green	1999	October 1, 2012	October 1, 2012
Jon Northup	2000	July 6, 1998	January 1, 2006
Brendan O'Neill	2000	September 12, 2005	January 1, 2008
David Lederman	2001	June 7, 1999	January 1, 2007
Melaney Wagner	2001	June 7, 1999	January 1, 2008
David Bronskill	2002	July 4, 2000	January 1, 2008
Daniel Cohen	2002	May 1, 2001	January 1, 2009
Jason Wadden	2002	January 5, 2004	January 1, 2011
Brenda Gosselin	2003	May 6, 2002	January 1, 2010
Lisa Mantello	2003	January 10, 2005	January 1, 2010
Anne Benedetti	2004	May 5, 2003	January 1, 2012
Kirk Rauliuk	2005	May 3, 2004	January 1, 2012
Jarrett Freeman	2005	May 3, 2004	January 1, 2013
Sandon Shogilev	2005	May 3, 2004	January 1, 2013
Michael Bertrand	2005	July 3, 2007	January 1, 2009
Ian Andres	2007	May 8, 2006	January 1, 2015

Goodmans LLP (Toronto)
CLLAS List of Legal Professionals
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Partners	Bar Admission Date	Hire Date	Partnership Date
Peter Kolla	2007	May 8, 2006	January 1, 2015
Chris Armstrong	2008	May 22, 2007	January 1, 2015
Leah Boyd	2008	May 22, 2007	January 1, 2015
Mark Dunn	2008	May 22, 2007	January 1, 2015
Melanie Ouanounou	2008	May 22, 2007	January 1, 2015
Brad Halfin	2009	May 20, 2008	January 1, 2017
Tamryn Jacobson	2009	May 20, 2008	January 1, 2017
Michelle Vigod	2009	August 22, 2011	January 1, 2017
Caroline Descours	2010	June 9, 2009	January 1, 2017
Dan Dedic	2010	June 9, 2009	January 1, 2017
Jordan Scopa	2010	October 9, 2012	January 1, 2017
TOTAL PARTNERS	56		

Goodmans LLP (Toronto)
CLLAS List of Legal Professionals
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Professional Corporations	Bar Admission Date	Hire Date
Stephen H. Halperin Professional Corporation	1976	April 1, 1987
Gale Rubenstein Professional Corporation	1977	September 8, 1980
Benjamin Zarnett Professional Corporation	1977	May 1, 1990
Harry Radomski Professional Corporation	1978	November 11, 1985
Lawrence Chernin Professional Corporation	1978	November 29, 2004
Roslyn Houser Professional Corporation	1979	June 8, 1977
Juli Morrow Professional Corporation	1979	August 2, 1977
Thomas Macdonald Professional Corporation	1981	June 1, 1986
Jean Anderson Professional Corporation	1981	November 6, 2000
Jay A. Carfagnini Professional Corporation	1982	January 1, 1980
Alan H. Mark Professional Corporation	1982	April 30, 1990
David Zitzerman Professional Corporation	1983	June 16, 1986
Mark Surchin Professional Corporation	1983	March 1, 1987
Jonathan Lampe Professional Corporation	1984	January 1, 1980
Dale H. Lastman Professional Corporation	1984	March 1, 1985
Howard Wise Professional Corporation	1985	October 6, 1997
Graham D. Smith Professional Corporation	1986	June 4, 1984
Daniel J. Gormley Professional Corporation	1986	September 4, 1984
Ira Berg Professional Corporation	1986	May 26, 1986
David J. Matlow Professional Corporation	1986	November 30, 1987
Celia Rhea Professional Corporation	1986	January 18, 1988
Fred Rubinoff Professional Corporation	1986	October 1, 2012
Jeffrey A. Citron Professional Corporation	1987	June 3, 1985
Stephen Pincus Professional Corporation	1989	September 15, 1986
Susan Garvie Professional Corporation	1989	June 1, 1987
Mitchell Sherman Professional Corporation	1989	June 22, 1987
Francesca M. Guolo Professional Corporation	1989	September 2, 2003
Catherine A. Lyons Professional Corporation	1990	February 12, 1990
Andrew S. Wiseman Professional Corporation	1990	March 5, 1990
Ken Herlin Professional Corporation	1990	May 12, 1997
Tom Friedland Professional Corporation	1991	June 5, 1989
Dino P. Clarizio Professional Corporation	1991	May 28, 2008
Mario Di Fiore Professional Corporation	1992	May 25, 1990
Neil Sheehy Professional Corporation	1992	June 25, 1990
Carrie Smit Professional Corporation	1992	January 1, 1998
Maureen Y. Berry Professional Corporation	1992	February 16, 2000
Richard Corley Professional Corporation	1992	January 1, 2014
Richard E. Naiberg Professional Corporation	1993	July 22, 1991
Allan J. Goodman Professional Corporation	1993	July 22, 1991
Tim Heeney Professional Corporation	1994	June 15, 1992
Jason Feldman Professional Corporation	1994	June 22, 1992
Robert J. Chadwick Professional Corporation	1994	June 29, 1992
Andrew Brodtkin Professional Corporation	1995	March 1, 1994
Robert D. Howe Professional Corporation	1995	February 19, 2001
Giuseppe Pasquariello Professional Corporation	1996	May 10, 1993

Goodmans LLP (Toronto)
CLLAS List of Legal Professionals
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Professional Corporations	Bar Admission Date	Hire Date
Peter Ruby Professional Corporation	1996	October 25, 1999
Robert A. Vaux Professional Corporation	1997	June 12, 1995
Sheldon N. Freeman Professional Corporation	1997	July 4, 1995
Grant E. McGlaughlin Professional Corporation	1997	April 24, 2000
David J. Nadler Professional Corporation	1998	August 26, 1991
Michael Partridge Professional Corporation	1998	May 8, 1995
Kari J. MacKay Professional Corporation	1999	February 1, 1999
Shevaun McGrath Professional Corporation	2000	May 12, 1997
John Connon Professional Corporation	2000	May 3, 2004
Mark Spiro Professional Corporation	2001	June 7, 1999
Victor Liu Professional Corporation	2001	June 28, 1999
Tara Parker Professional Corporation	2001	January 24, 2000
Jonathan A. Feldman Professional Corporation	2001	May 11, 2004
Gesta A. Abols Professional Corporation	2002	June 5, 2000
Jerry Topolski Professional Corporation	2002	April 9, 2001
Lauren Butti Professional Corporation	2002	May 1, 2001
Brad Ross Professional Corporation	2004	May 5, 2003
Hackett Law Professional Corporation	2006	May 2, 2005
Daniel Cappe Professional Corporation	2006	May 2, 2005
Jaclyn Seidman Professional Corporation	2006	May 2, 2005
Chris Sunstrum Professional Corporation	2007	May 8, 2006
TOTAL PROFESSIONAL CORPORATIONS	66	

Goodmans LLP (Toronto)
CLLAS List of Legal Professionals
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Associates	Bar Admission Date	Hire Date
Monica Creery	1995	August 14, 2006
Michael Stewart	1999	May 13, 1996
Caterina Costa - Faria	2002	May 1, 2001
Jenene Roberts	2005	July 18, 2011
Gail Jaffe	2006	May 8, 2006
Michael Yasskin	2006	April 10, 2012
Belle Van	2007	September 5, 2007
Hannah Arthurs	2008	May 22, 2007
Geoff Cowper-Smith	2010	June 9, 2009
Katelyn Rancourt	2010	June 9, 2009
Nick Staubit	2010	June 9, 2009
Victoria Petherbridge	2010	June 9, 2009
Emily Ting	2011	May 25, 2010
Jamie van Diepen	2011	May 25, 2010
Ken Saddington	2011	May 25, 2010
Michel Shneer	2011	May 25, 2010
Jaro Mazzola	2012	September 26, 2012
Jesse Mighton	2012	June 28, 2011
Kabir Jamal	2012	May 5, 2014
Karen Vadasz	2012	August 8, 2011
Bradley Wiffen	2013	May 10, 2010
Daniel Wakeling	2013	May 9, 2011
David Coll-Black	2013	May 9, 2011
David Rosenblat	2013	October 11, 2016
Emily Hubling	2013	May 30, 2016
Jennifer Ehrlich	2013	May 9, 2011
Joseph Hoffman	2013	May 9, 2011
Matthew Segal	2013	May 9, 2011
Michael Royal	2013	September 30, 2013
Michael Wilson	2013	May 10, 2010
Nofa Khadduri	2013	October 31, 2016
Ryan Cookson	2013	May 10, 2010
Ali Mirsky	2014	May 7, 2012
Charlie Pettypiece	2014	May 9, 2011
Dan Block	2014	May 9, 2011
Elizabeth Mpermeracis	2014	May 7, 2012
Emily Weizel	2014	May 7, 2012
Resa Jacob	2014	May 7, 2012
Ryan Baulke	2014	May 7, 2012
Seth Klerer	2014	May 9, 2011
Tyler D'Angelo	2014	May 7, 2012
Carlie Fox	2015	May 6, 2013
Jamie Habert	2015	May 6, 2013
Jesse-Ross Cohen	2015	May 6, 2013
Kayla Goldrich	2015	May 7, 2012

Goodmans LLP (Toronto)
CLLAS List of Legal Professionals
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Associates	Bar Admission Date	Hire Date
Kirby Cohen	2015	May 7, 2012
Matthew Prager	2015	May 6, 2013
Matthew Rossetti	2015	May 6, 2013
Max Laskin	2015	May 7, 2012
Samantha Woolley	2015	May 7, 2012
Vanessa Hui	2015	May 7, 2012
Connor Spelliscy	2016	August 4, 2015
Jane Scholes	2016	August 4, 2015
Julian Di Bartolomeo	2016	August 4, 2015
Max Mandel	2016	August 4, 2015
Michael Richards	2016	August 4, 2015
Randy McAuley	2016	August 4, 2015
Rebecca Olscher	2016	August 4, 2015
Rosel Kim	2016	August 4, 2015
Sarah Macchione	2016	August 4, 2015
Theresa Kennedy	2016	August 4, 2015
Dennis Beker	2017	February 13, 2017
TOTAL ASSOCIATES	62	

Goodmans LLP (Toronto)
CLLAS List of Legal Professionals
Appendix B

Consultants	Bar Admission Date	Hire Date
Neil H. Harris	1972	June 1, 1969
Allan Leibel	1972	April 1, 1972
John Keefe Professional Corporation	1976	December 4, 1978
Calvin S. Goldman Professional Corporation	1976	January 1, 2014
Howard Wetston	1979	April 4, 2016
Richard Annan	1985	August 3, 2004
Cynthia Tape	1997	August 27, 2008
Rocco (Rick) Tuzi	1997	February 6, 2012
TOTAL CONSULTANTS	8	

Goodmans LLP (Toronto)
CLLAS List of Legal Professionals
Appendix B

Law Clerks	Hire Date
Fanny Paquette	October 25, 1982
Paula MacDonald	October 28, 1985
Nicole Roth	October 12, 1993
Heather Wilson	August 18, 1997
Catherine Biesma	February 2, 1998
Lisa Ebdon	June 8, 1998
Monique Allen	June 14, 1999
Tiffanie Hill	May 1, 2000
Angela Tsiakos	May 8, 2000
Maureen Buckley	November 6, 2000
Gloria Lauzon	January 8, 2001
Diane Ferreira	August 22, 2005
Grace Rubba	April 5, 2006
Christina Ierullo	May 16, 2011
Sean Moore	September 6, 2011
Shelly Tulloch	September 12, 2011
Paul Cope	July 15, 2013
Ashley Piotto	October 10, 2013
Lidia D'Amata	September 4, 2014
Cailey MacDonald	January 4, 2016
TOTAL LAW CLERKS	20

Goodmans LLP (Toronto)
CLLAS List of Legal Professionals
Appendix B

Patent & Trademark Agents		Hire Date
Ronnie Hoffer		May 6, 1981
TOTAL PATENT & TRADEMARK AGENTS		1

Goodmans LLP (Toronto)
CLLAS List of Legal Professionals
Appendix B

Non-Lawyer Consultant	Hire Date
Andre Lapierre	February 21, 2000
Rosa Rahimpour	July 17, 2000
Matthew Buck	August 3, 2004
Carl Scott	October 31, 2005
Eugene Cipparone	February 4, 2008
Sandra Montanino	April 1, 2008
Tess DiPonio	May 7, 2008
Stacey Kline	September 8, 2008
Stacy Zosky	October 6, 2008
Nancy Stitt	June 9, 2009
Catherine Chang	May 3, 2010
TOTAL NON-LAWYER CONSULTANTS	11

APPENDIX C
ACTIVE NON-LAWYER CONSULTANTS OF THE FIRM AS OF MARCH 1, 2017
(Excluding Patent & Trademark Agents)

Name of Firm: Goodmans LLP

SECTION A

Professional Service Provided	# Person in Same Location	Profession (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance ¹	% of Time Docketed ²
Matthew Buck (Scientist)	1	ON	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	100%
Andre Lapierre (Scientist)	1	ON	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	100%
Rosa Rahimpour (Scientist)	1	ON	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	100%
Carl Scott (Scientist)	1	ON	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	100%
Catherine Chang (Director, Alumni Relations)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%
Eugene Cipparone (Director, Professional Support)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%
Tess DiPonio (Director, Law Clerk Programs)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%
Stacey Kline (Director, Special Projects)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%
Sandra Montanino (Director, Professional Development)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%
Nancy Stitt (Director, Student Programs)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%
Stacy Zosky (Director, Associate Programs)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX D
"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

Name of Firm: Goodmans LLP

- The Named Insured under the CLLAS policies prior to January 1, 2001 was Goodman Phillips & Vineberg, formerly Goodman & Goodman, a partnership constituted under the laws of Ontario.
- The Firm had changed its name from Goodman & Goodman to Goodman Phillips & Vineberg effective March 6, 1995 and practised under that name from its office in Toronto until January 1, 2001.
- Phillips & Vineberg of Montreal also practised under the name of Goodman Phillips & Vineberg for the same period.
- These two firms had entered into an arrangement with Freeman & Company of Vancouver in 1989 and formed an international partnership and national affiliation known as Goodman Freeman Phillips & Vineberg. In 1993, Freeman & Company dissolved and the partnership continued to operate under the name of Goodman Phillips & Vineberg.
- Goodman Phillips & Vineberg (Ontario) and Goodman Phillips & Vineberg (Montreal) maintained offices in Vancouver, New York, Paris, Singapore, Hong Kong and Beijing. Vancouver and Hong Kong each have local partners. Exposures not covered by the Associated Firm Endorsement for Goodman Phillips & Vineberg (Ontario) were covered by the policies arranged for Goodman Phillips & Vineberg (Montreal), e.g. the New York office.
- Effective January 1, 2001, the Named Insured was amended to Goodmans LLP, a partnership constituted under the laws of Ontario with (i) Goodmans, a partnership constituted under the laws of British Columbia, and (ii) Goodmans, a partnership registered under the laws of Hong Kong and their predecessor firms being added as Additional Named Insureds.
- Effective January 1, 2001, Fong & Ng (former associated firm of the international partnership in Hong Kong) is being recognized as an associated firm of the umbrella firm Goodmans, a partnership registered under the laws of Hong Kong.
- On February 1, 2001, Goodman Phillips & Vineberg in Montreal merged with Davies, Ward & Beck and operates under the name of Davies Ward Phillips & Vineberg LLP. The international partnership dissolved on the same day.
- On May 10, 2001, Goodman Phillips & Vineberg LLP, upon receipt of permission from the Law Society of Hong Kong, changed its name to Goodmans LLP.
- On December 31, 2005, Goodmans' Hong Kong office was closed.
- The Firm currently has an office in Toronto. All the lawyers in the Vancouver office joined MacPherson Leslie & Tyerman LLP on January 1, 2015 and that office closed on the same date.

There is an endorsement on the policy in respect of: a) the previous international partnerships and national affiliations; and b) Goodmans, a partnership registered under the laws of Hong Kong, to cover possible claims relating to the period during which they were in operation.

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Goodmans LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2016

Name of Firm: Goodmans LLP

As of the date of this application, all known claims and possible claims have been reported to CLLAS.

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Bordereau
As At December 31, 2016
All Provinces

Goodmans LLP

CLLAS Claim Number	Lawyer Last Name	Claimant	Report Date	Error Date	Date Closed	Disp. Code	LS Paid Indemnity	LS Paid Legal	LS Current Reserve	LS Incurred Liability	CLLAS Paid Indemnity	CLLAS Paid Legal	CLLAS Indemnity	CLLAS Legal Reserve	CLLAS Incurred Liability
88-012	JOHNSON	ATTORNEY GENERAL	01-Sep-87	01-Mar-82	10-Sep-93	6	\$0	\$6,262	\$0	\$6,262	\$0	\$0	\$0	\$0	\$0
89-029	PIERCE	STEVEN SHEIR	01-Apr-89	01-Sep-87	01-Oct-89	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
89-064	KWINTER	RICHARD MEYERS	01-Jun-89	01-Jun-82	01-Sep-90	7	\$41,984	\$4,870	\$0	\$46,854	\$0	\$0	\$0	\$0	\$0
89-094	GORMLEY	SULLIVAN FILMS INC.	31-Oct-88	30-Jun-85	10-Jun-94	7	\$800,000	\$133,629	\$0	\$933,629	\$0	\$0	\$0	\$0	\$0
91-006	WEISZ	CARLO SIMONI ET AL	02-Aug-90	30-Apr-90	01-Oct-90		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
91-015	MACDONALD	WIDPAR FINANCIAL GROUP	30-Sep-90	31-Jul-89	28-Nov-97	5	\$30,000	\$30,992	\$0	\$65,975	\$0	\$0	\$0	\$0	\$0
91-030	JACQUES	TRUSTEE IN BANKRUPTCY	01-Nov-90	01-Jan-90	16-Mar-93	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
91-058	PIERCE	RYLAR DEV.	31-Jan-91	31-Jan-91	22-Jul-94	6	\$0	\$7,658	\$0	\$7,658	\$0	\$0	\$0	\$0	\$0
91-069	SCHWARTZ	ROGER CUNNINGTON	14-Feb-91	01-Dec-83	31-May-93	6	\$0	\$7,491	\$0	\$7,491	\$0	\$0	\$0	\$0	\$0
91-070	MacDONALD	WILLIAM HO (716358 ONTAR	01-Mar-91	18-Feb-89	01-Sep-92	6	\$0	\$6,739	\$0	\$6,739	\$0	\$0	\$0	\$0	\$0
91-124	BERG	GRAND HARBOUR DEVELO	28-Jun-91	05-Jun-91	24-Mar-94	6	\$0	\$12,582	\$0	\$14,388	\$0	\$0	\$0	\$0	\$0
92-008	PIERCE	GEOFFREY N. FERNANDES	01-Aug-91	01-Jan-89	01-Nov-91	6	\$0	\$511	\$0	\$511	\$0	\$0	\$0	\$0	\$0
92-014	ROSS	STEL HOTELS	30-Sep-91	30-Apr-88	28-Nov-97	6	\$0	\$48,556	\$0	\$48,663	\$0	\$0	\$0	\$0	\$0
92-035	BELGUE	DENNIS and JUDY JEWITT	11-Nov-91	28-Jun-88	01-Mar-92	6	\$0	\$648	\$0	\$648	\$0	\$0	\$0	\$0	\$0
92-053	T.B.A.	TRITEN CORPORATION	01-Dec-91	01-Sep-90	01-Jun-92	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
92-060	PIERCE	BARCLAY-GRAYSON CORP	01-Dec-91	01-Nov-89	01-Dec-92	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
92-071	RADOMSKI	HERITAGE SILVERSMITHS/	31-Jan-92	31-Dec-90	29-Jun-99	6	\$0	\$14,168	\$0	\$14,168	\$0	\$0	\$0	\$0	\$0
92-073	ALCAMO	THE BITOVE CORPORATION	28-Feb-92	31-Jan-89	30-Apr-99	2	\$0	\$91,325	\$0	\$94,303	\$0	\$0	\$0	\$0	\$0
92-090	CITRON	CHAUSSURES ALDO INC./A	07-Feb-92	01-Dec-88	01-Aug-92	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
92-099	ROSS	FALLBROOK HOLDINGS	28-Oct-91	25-May-88	22-Nov-93	6	\$0	\$3,444	\$0	\$3,444	\$0	\$0	\$0	\$0	\$0
92-149	SCHWARTZ	PINETREE DEVELOPMENT	30-Jun-92	30-Jun-89	18-Apr-94	6	\$0	\$1,212	\$0	\$2,486	\$0	\$0	\$0	\$0	\$0
93-076	SCHACHTER	SATIN FINISH HARDWARE F	12-Mar-93	11-Feb-93	22-Sep-93	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
93-096	ALCAMO	Metro Toronto Convention Ce	26-Apr-93	30-Sep-92	10-Nov-95		\$46,500	\$118,257	\$0	\$164,757	\$0	\$0	\$0	\$0	\$0
93-115	JOHNSON	Keith & Christine Norris	14-Jun-93	28-Feb-93	27-Sep-93	6	\$0	\$1,039	\$0	\$1,039	\$0	\$0	\$0	\$0	\$0

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CLLAS Claim Number	Lawyer Last Name	Claimant	Report Date	Error Date	Date Closed	Disp. Code	LS Paid Indemnity	LS Paid Legal	LS Current Reserve	LS Incurred Liability	CLLAS Paid Indemnity	CLLAS Paid Legal	CLLAS Indemnity	CLLAS Legal Reserve	CLLAS Incurred Liability
93-116	Schipper	Stephen Mitchell Realty Ltd/L	30-Jun-93	31-Jan-91	19-Nov-93	6	\$0	\$1,330	\$0	\$1,330	\$0	\$0	\$0	\$0	\$0
93-125	MacDONALD	Hong kong Bank of Canada	30-Jun-93	01-Aug-88	14-Feb-95	6	\$0	\$802	\$0	\$802	\$0	\$0	\$0	\$0	\$0
94-008	HERBST	SOCIETE GENERALE (CANA	16-Aug-93	01-Jan-90	03-Nov-94	6	\$0	\$0	\$0	\$1,504	\$0	\$0	\$0	\$0	\$0
94-021	ROSS	Income Trust Company	15-Sep-93	01-Mar-88	10-Feb-95	6	\$0	\$7,061	\$0	\$7,061	\$0	\$0	\$0	\$0	\$0
94-025	HALPENNY	Dr. Gordon Eckler	23-Sep-93	01-Feb-90	07-Mar-94	6	\$0	\$3,098	\$0	\$3,098	\$0	\$0	\$0	\$0	\$0
94-039	KARP	WIDPAR FINANCIAL GRP IN	27-Oct-93	26-Apr-89	27-Sep-95	6	\$0	\$3,550	\$0	\$3,550	\$0	\$0	\$0	\$0	\$0
94-046	ROBINSON	CARL BURTON ESTATE OF	09-Nov-93	09-Jan-88	02-Jan-95	6	\$0	\$1,574	\$0	\$1,574	\$0	\$0	\$0	\$0	\$0
94-067	ALTON	GREYVEST LEASING INC.	26-Jan-94	01-Dec-86	17-Jan-95	6	\$0	\$16,229	\$0	\$18,322	\$0	\$0	\$0	\$0	\$0
94-075	SNIDER	DRG INC.	10-Feb-94	01-Apr-91	29-Feb-96	6	\$0	\$950	\$0	\$950	\$0	\$0	\$0	\$0	\$0
94-139	Ross	Kingsabbey Investments / Roll	24-Jun-94	01-Nov-93	23-Feb-95	6	\$0	\$0	\$0	\$1,349	\$0	\$0	\$0	\$0	\$0
94-151	Macdonald	Kathryn Holbrook	29-Jun-94	01-Jan-86	31-May-96	6	\$0	\$0	\$0	\$1,288	\$0	\$0	\$0	\$0	\$0
94-152	Smith	Peter Krawec	28-Jun-94	01-May-89	17-Dec-97	5	\$142,499	\$64,595	\$0	\$209,451	\$0	\$0	\$0	\$0	\$0
95-028	Pierce	IBM Canada Inc.	23-Sep-94	01-Nov-82	04-Oct-95	6	\$0	\$3,872	\$0	\$3,872	\$0	\$0	\$0	\$0	\$0
95-029	Day	Hill Samuel Bank	08-Nov-94	01-Jun-90	26-Feb-96		\$0	\$0	\$0	\$1,312	\$0	\$0	\$0	\$0	\$0
95-076	Berg	Investors Group Trustco Ltd. -	24-Nov-94	01-Jan-89	11-Jul-95		\$0	\$747	\$0	\$747	\$0	\$0	\$0	\$0	\$0
95-077	Harris	Canadian Solifuels Inc	13-Jan-95	01-Jun-93	19-Feb-98	6	\$0	\$5,685	\$0	\$5,685	\$0	\$0	\$0	\$0	\$0
95-097	Halperin	Paine Webber Inc./Wertheim	27-Mar-95		12-Oct-95	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
95-126	Schwartz	Consulate I Properties Limited	25-May-95	01-Apr-89	04-Apr-96		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
95-132	Rotman	Julia and Tony Yip(Golden Ma	16-Jun-95	01-Apr-94	28-Feb-02	4	\$0	\$171,810	\$0	\$171,810	\$0	\$0	\$0	\$0	\$0
95-133	Schachter	Greyvest Inc.	15-Jun-95	01-Apr-94	16-Apr-96		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
95-167	Berg	Investors Group Trustco Ltd. -	05-Apr-95	01-Feb-94	09-Nov-99	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
96-025	Pierce	Ontario Hospital Association	03-Oct-95	01-Dec-94	05-Dec-97	6	\$0	\$31,898	\$0	\$36,392	\$0	\$0	\$0	\$0	\$0
96-040	CROFOOT	GAN, SIMCOE & ERIE	01-Dec-95	06-Nov-95	30-Jun-96	6	\$0	\$671	\$0	\$671	\$0	\$0	\$0	\$0	\$0
96-055	Guolo	Ault Foods Limited	25-Feb-96	01-Apr-94	01-Nov-96	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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CLLAS Claim Number	Lawyer Last Name	Claimant	Report Date	Error Date	Date Closed	Disp. Code	LS Paid Indemnity	LS Paid Legal	LS Current Reserve	LS Incurred Liability	CLLAS Paid Indemnity	CLLAS Paid Legal	CLLAS Indemnity	CLLAS Legal Reserve	CLLAS Incurred Liability
96-094	Goodman	Central Guaranty Trustco Limit	30-Apr-96		31-May-96	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
96-099	Rubenstein	Mastercraft Group/Greenbergs	09-May-96	01-Aug-94	16-Nov-99	5	\$125,000	\$39,675	\$0	\$167,524	\$0	\$0	\$0	\$0	\$0
96-110	Pierce	Midtown Properties	20-Jun-96	01-Nov-95	29-Aug-97	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
97-005	Zamett	Issie and Nancy Goldman	15-Aug-96	15-Jul-95	11-Mar-96	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
97-009	Ernst	Allan Richman/440894 Ont Ltd	19-Jul-96	21-Dec-95	15-Jul-97	6	\$0	\$0	\$0	\$561	\$0	\$0	\$0	\$0	\$0
97-130	Schachter	A.J. Gerrard & Company	27-Jun-97	01-Jul-96	23-Sep-98	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
98-009	Ross	Fallbrook Holdings Limited -15	29-Jul-97	31-Jul-88	31-Mar-98	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
98-010	Herbst	Standard Chartered Bank of C	24-Jul-97	07-Nov-90	31-Dec-08		\$300,000	\$131,302	\$0	\$431,302	\$0	\$0	\$0	\$0	\$0
98-011	Pierce	Huang & Danczkay Limited	24-Jul-97	01-Mar-92	14-Dec-98	6	\$0	\$27,972	\$0	\$28,000	\$0	\$0	\$0	\$0	\$0
98-056	Ross	Four Seasons Hotels Ltd et al	02-Feb-98	09-Sep-82	01-May-02	5	\$656,500	\$41,795	\$0	\$698,295	\$0	\$0	\$0	\$0	\$0
98-063	Conforti	Dominion Auto Supply & Wrec	14-Jan-98		19-Nov-98	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
98-065	Wiseman/Berg	TD Bank/CFCL/CF/JMB	06-Feb-98	08-Sep-94	03-Mar-98	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
98-093	Karp	Madison Park Inc. et al	05-May-98	10-Apr-89	26-Jun-98	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
98-100	Feld	Eco Fibre Canada Inc.	11-May-98	01-May-92	17-Nov-98	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
99-007	Fitterman	Great Plains Productions (Big	23-Jul-98	27-Apr-98	01-Nov-02	6	\$0	\$6,029	\$0	\$6,029	\$0	\$0	\$0	\$0	\$0
99-024	Snider	Paul Woods	18-Sep-98	12-Aug-98	30-Sep-98	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
99-043	Lax	Clifford Lax/Platiumum Rouge	13-Nov-98	22-Jun-98	19-May-00	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
99-071	Rosenfeld	Noma Industries Ltd	08-Jan-99	04-Mar-94	02-Nov-99	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
99-077	Pierce	Toys "R" Us (Canada) Ltd.	13-Jan-99	29-Oct-87	13-Jul-01	5	\$60,000	\$10,902	\$0	\$70,902	\$0	\$0	\$0	\$0	\$0
99-131	Johnson	Commercial Alcohols Inc.	26-May-99	11-Jun-96	30-Aug-00	6	\$0	\$11,735	\$0	\$11,735	\$0	\$0	\$0	\$0	\$0
99-161	Morrow	Steven Stansbury/Halifax Insu	30-Jun-99		15-Jan-03	1	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2000-008	Karp	Anthem Holdings (Canada) Lt	03-Aug-99		01-Sep-99	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2000-103	Karp	Barber Greene Business Park	30-Mar-00	18-Feb-00	29-Nov-00	6	\$0	\$14,077	\$0	\$14,077	\$0	\$0	\$0	\$0	\$0
2000-139	Halperin	Laidlaw Inc.	30-Jun-00	06-May-99	30-Sep-01	6	\$0	\$188	\$0	\$188	\$0	\$0	\$0	\$0	\$0

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2001-017	Pike	1159006 Ontario Limited	07-Sep-00	01-Jun-98	19-Jan-01	6	\$0	\$388	\$0	\$388	\$0	\$0	\$0	\$0	\$0
2001-138	Cornwall	Alex and Ernest Singer Estate	14-Jun-01	14-Apr-87	28-Aug-02	6	\$0	\$45,086	\$0	\$45,086	\$0	\$0	\$0	\$0	\$0
2002-005	Matlow	Donald Sproule and others v.	20-Jul-01	01-Jan-01	25-Jun-02	6	\$0	\$7,240	\$0	\$7,240	\$0	\$0	\$0	\$0	\$0
2002-016	Salzman	Centrecorp Management Servi	13-Aug-01		24-Aug-01	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2002-051	Pincus	Royal Bank of Canada	16-Oct-01	05-Apr-00	07-Jun-02	6	\$0	\$3,344	\$0	\$3,344	\$0	\$0	\$0	\$0	\$0
2002-080	Goodman	Dylex Limited	29-Nov-01	16-May-01	30-Jun-07	5	\$33,895	\$966,105	\$0	\$1,000,000	\$14,000,000	\$390,929	\$0	\$0	\$14,390,929
2002-124	Ehrlich	Filogix Inc. (Norlite Financial S	21-Feb-02	31-Mar-00	28-Mar-02	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2002-211	Goodman	Unique Broadband Systems, I	27-Jun-02	01-Jan-02	26-Sep-02	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2003-005	Goldman	Shenshen Luohu District Indus	15-Jul-02		06-Mar-15	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2003-084	Kirke	Four Seasons Hotel Settle	18-Dec-02	01-Jan-02	30-May-03	0	\$0	\$2,415	\$0	\$2,415	\$0	\$0	\$0	\$0	\$0
2004-031	Salzman	Province of Ontario Savings O	10-Oct-03	31-Mar-03	28-Jul-04	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2004-087	Rosenfeld	Rea International Inc.	19-Dec-03	13-Sep-01	31-Jan-06		\$0	\$5,316	\$0	\$5,316	\$0	\$0	\$0	\$0	\$0
2004-106	Weinberger	IPC Financial Network Inc.	26-Jan-04	01-Jun-01	11-Mar-04	2	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2004-109	Robinson	John Plaxton (Will of..)	15-Jan-04		31-Dec-08		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2004-154	Alton/Weiner	Province of Ontario	28-Apr-04		04-Jan-07		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2005-029	Guralnick	1228 Hamilton Street Ltd.	06-Aug-04		06-Mar-15	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2005-109	Citron	Central Park Lodges and Retir	17-Jan-05		25-Feb-05	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2005-142	Kimmel	Vartevan E. Brounssuzian	04-Mar-05	17-Jul-03	31-Jan-07	6	\$0	\$21,712	\$0	\$21,712	\$0	\$0	\$0	\$0	\$0
2005-154	Sherman	Sentinel Hill Entertainment Co	23-Mar-05	01-Jun-99	01-Apr-05	8	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2005-159	May	Medical Facilities Corp	30-Mar-05	01-Feb-04	31-Dec-08		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2005-171	Matlow	Brandselete International Corp	12-Apr-05		25-Aug-05	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2005-181	Gorman	Atlantic Power Corporation	30-Mar-05		30-Sep-05		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2006-010	Halperin	Donald M.J. Vale and Hollinge	26-Jul-05	20-Jul-05	18-Oct-05	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2006-154	Goldman	Ivanhoe Mines Ltd.	29-May-06		31-Mar-09	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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All Provinces

Goodmans LLP

CLLAS Claim Number	Lawyer Last Name	Claimant	Report Date	Error Date	Date Closed	Disp. Code	LS Paid Indemnity	LS Paid Legal	LS Current Reserve	LS Incurred Liability	CLLAS Paid Indemnity	CLLAS Paid Legal	CLLAS Indemnity	CLLAS Legal Reserve	CLLAS Incurred Liability
2007-018	Waisberg (not to	Four Seasons Hotels and Isad	22-Aug-06		09-May-07	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-041	Greenspoon	Midland Development Inc.	23-Oct-06		31-Dec-08		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-080	Halperin	Directors of Hollinger	17-Jan-07	07-Mar-07	23-Mar-07	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-157	Garvie	ONCAP L.P. and WIS Internat	29-Jun-07	14-May-07	31-Dec-08		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-108	Myers	1235866 Ontario Inc.	05-Feb-08	17-Sep-07	31-Dec-08		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-134	Keefe	Gregory Roberts	30-Apr-08	07-Feb-08	31-Jul-09	6	\$0	\$9,408	\$0	\$9,408	\$0	\$0	\$0	\$0	\$0
2008-140	Lax	Brenda, Margaret, Inger Anne	16-May-08		04-Aug-09	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2009-006	Sheehy	CIBC Mellon Trust Company	28-Jul-08		05-Aug-08	11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2009-011	Coburn	Medical Facilities Corporation	14-Aug-08	01-Oct-07	30-Jun-09		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2009-013	Greenspoon	Fluid Music Canada Inc.	21-Aug-08	02-Apr-08	30-Mar-15	8	\$0	\$118,657	\$0	\$118,657	\$0	\$0	\$0	\$0	\$0
2009-148	Pincus	Donald Kramer	08-Jun-09	01-Jan-08	08-Nov-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2009-154	Zarnett	Sarkis Keuroghlian	18-Jun-09	05-Sep-05	21-Dec-10	6	\$0	\$46,578	\$0	\$46,578	\$0	\$0	\$0	\$0	\$0
2010-019	Ross	Northwest Value Partners Inc.	04-Sep-09		22-Jul-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-028	Myers	Robert Verdun (not client)	29-Sep-09	30-Jan-08	31-Mar-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-053	Freeman	Frank and Feme D'Addario	02-Nov-09		13-Jul-12	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-061	Zitserman	Bachi Corp.	24-Nov-09	01-Jan-09	14-Sep-11	5	\$800,000	\$100,719	\$0	\$900,719	\$0	\$0	\$0	\$0	\$0
2010-092	Keefe	Alexander Josipovicz	12-Jan-10	13-Nov-09	29-Oct-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-093	Zarnett/Kussner	Don Jack in Action Against Hi	11-Jan-10		09-Mar-10		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-111	Belgue	Canadian Tire Corporation Lim	01-Mar-10	27-Oct-06	23-Jun-14	5	\$714,942	\$285,058	\$0	\$1,000,000	\$4,356,558	\$0	\$0	\$0	\$4,356,558
2010-124	Hoffer	Bad Boy Furniture Warehouse	19-Mar-10		03-Nov-10	2	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-125	Rubenstein	Lawrence Kevin Clooney	15-Mar-10		16-Mar-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-008b	Crofoot	Ludmer Steinberg (Sandringha	03-Jun-14	07-Dec-97	05-Dec-14	5	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-037	De Luca	2JG Investments Limited and	02-Nov-10	15-Jan-10	13-Dec-13	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-061	Lampe	OntarioTeachers' Pension Pla	08-Dec-10	04-Jul-08	17-Jun-14	6	\$0	\$13,778	\$0	\$13,778	\$0	\$0	\$0	\$0	\$0

Date Printed February 1, 2017

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Bordereau
As At December 31, 2016
All Provinces

Goodmans LLP

CLLAS Claim Number	Lawyer Last Name	Claimant	Report Date	Error Date	Date Closed	Disp. Code	LS Paid Indemnity	LS Paid Legal	LS Current Reserve	LS Incurred Liability	CLLAS Paid Indemnity	CLLAS Paid Legal	CLLAS Indemnity	CLLAS Legal Reserve	CLLAS Incurred Liability
2011-063	Shapira	CPP Investment Board Real E	03-Dec-10	12-Dec-07	20-Jul-11		\$213,000	\$0	\$0	\$213,000	\$0	\$0	\$0	\$0	\$0
2011-065	Harris	Sentinel Hill Production Serv	13-Dec-10	03-Nov-00		0	\$0	\$4,074	\$47,508	\$51,582	\$0	\$0	\$0	\$0	\$0
2011-151	Mandell	Dufferin-401 Properties Limite	11-Apr-11		29-Jul-11	2	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-158	Bish	Former Directors and Officers	13-Apr-11	25-Mar-10	30-Jun-13	6	\$0	\$10,158	\$0	\$10,158	\$0	\$0	\$0	\$0	\$0
2011-159	Lederman	Andrew Howarth, Storetech S	13-Apr-11	10-Jan-11	28-Apr-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-036	Chernin	Sim Video Productions Limite	13-Oct-11	20-Dec-07	11-Jul-12	6	\$0	\$7,627	\$0	\$7,627	\$0	\$0	\$0	\$0	\$0
2012-112	Bloom	John Hamilton	09-Mar-12	15-Nov-11	20-Mar-12	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-114	Conklin	Cassels Brock & Blackwell LL	12-Mar-12	25-May-09			\$0	\$661	\$35,339	\$36,000	\$0	\$0	\$0	\$0	\$0
2012-118	Myers	Erierto Di Paolo and Rita Blo	19-Mar-12		26-Mar-12	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-134	Redford	Genalta Power Inc.	15-Mar-12	18-Jun-09	30-Apr-12	2	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-178	Myers	Atul Bali	29-Jun-12	23-Mar-12	30-Jun-14	15	\$0	\$57,822	\$0	\$57,822	\$0	\$0	\$0	\$0	\$0
2013-099	Northrup	6482619 Canada Inc.	01-Mar-13	24-Sep-06	31-Dec-16	5	\$425,000	\$77,840	\$0	\$502,840	\$0	\$0	\$0	\$0	\$0
2014-085	Berg	ARE-BJ Holdings No. 1, Inc.	24-Feb-14	09-Aug-11	31-Dec-14	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2014-101	Sugar	Minto Metropia	26-Mar-14	10-Nov-10	29-May-15	6	\$0	\$5,458	\$0	\$5,458	\$0	\$0	\$0	\$0	\$0
2014-137	Wadden/Dunn	Anthonio Carvalho	09-Jun-14	14-Feb-14	25-Sep-14	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2015-031	Parker	Camel Entertainment, Inc.	24-Oct-14	05-Sep-13	02-Dec-14	2	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2015-125	Ortved	Alcion Real Estate Partners et	12-Jun-15	14-Mar-14	19-Aug-15	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2017-010	Wagner	2138747 Ontario Inc.	27-Jul-16	26-Oct-14			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2017-035	Ortved / Halperin	Peter and Deborah Snucins	03-Oct-16				\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTALS:							\$4,389,320	\$2,876,374	\$82,847	\$7,377,524	\$18,356,558	\$390,929	\$0	\$0	\$18,747,487



APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

Name of Firm: Goodmans LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

Anthony Davis completed a Risk Assessment Audit in 2003.

John Walker completed a CLLAS Risk Management Audit on:

- April 14, 2010; and
- April 19, 2016.

Attached is a listing of all Risk Management Policies (previously submitted).



GoodNet > Home > Risk Management > Policies, Guidelines and Best Practices

Faces



ADVANCED SEARCH

HOME PRACTICE AREAS SERVICE AREAS COMMUNITIES FACES SEARCH GOODNET

Policies, Guidelines and Best Practices

Policies and Procedures

☐ Name

- Risk Management Policy and Procedures - Anti-Corruption
- Risk Management Policy and Procedures - Audit Inquiry
- Risk Management Policy and Procedures - Client-Matter Intake and Conflict Searches
- Risk Management Policy and Procedures - Confidentiality and Trading Securities
- Risk Management Policy and Procedures - Directorship - Letter to Corporation re Limitation of Directorship Role
- Risk Management Policy and Procedures - Directorship Approval Form
- Risk Management Policy and Procedures - Directorships
- Risk Management Policy and Procedures - Documents to be Filed on SEDAR with Redacted Information
- Risk Management Policy and Procedures - Ethical Walls
- Risk Management Policy and Procedures - Investments and Opportunities
- Risk Management Policy and Procedures - Legal Opinions
- Risk Management Policy and Procedures - Ownership Enquiry - Prospectus and Information Circulars
- Risk Management Policy and Procedures - Search Warrants
- Risk Management Policy and Procedures - Service of Documents
- Risk Management Policy and Procedures - Tax Opinions
- Risk Management Policy and Procedures - Third Party Privacy

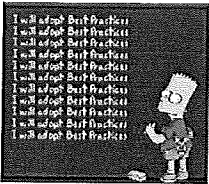
GoodLinks

- After Hours
- Audit Inquiry
- Bill Reprint
- Emergency
- Employee Self Service (ESS)
- Employee Assistance Program
- GoodPeople Registration
- InterAction
- Job Postings
- Library Catalogue
- Meeting Room Manager
- Metastorm Forms
- Mimecast
- Policies and Procedures
- Prebill Lookup
- RM Database
- Security Awareness Training
- Supplies
- Training Videos
- Virtual Minute Books
- WebView
- Workflow
- World Law Group

Guidelines and Best Practices

☐ Name

- Docketing Best Practices and Naming Conventions
- Email Management - Best Practices
- Employee Departure Checklist
- Guidelines re Internet Publishing and Social Media
- Lobbyists Registration Act 1998 - Interpretation Bulletin Number 7 - October 28, 2011



FIRM HELP OUR OFFICE POST TO GOODNET SITE MAP YOUR FEEDBACK

APPENDIX H
CYBER LIABILITY

Name of Firm: Goodmans LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☐ yes ☒ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

Director, Technology is responsible for management of and compliance with security policies

- b) Do you have a Chief Privacy Officer or equivalent? ☐ yes ☒ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

Chief Privacy Officer is Managing Director. Emails to PrivacyOfficer@Goodmans.ca are delivered to email box of Managing Director and two partners, one of whom is General Counsel

2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☐ Data at rest
☒ Data in transit
☐ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no
- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no
- d) Do you require that passwords be a minimum length and contain alpha and numeric characters? ☒ yes ☐ no
- e) Do you require that passwords be regularly updated? ☒ yes ☐ no
- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no
- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no
- h) Is the data on your servers encrypted? ☐ yes ☒ no

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes, on laptops ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes, for mobile ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☒ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☐ yes ☒ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☐ yes ☒ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☒ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLAS coverage to protect you in the case of privacy breaches? ☐ yes ☒ no
- e) Do you purchase insurance other than CLAS coverage to protect you in the case of cyber-attacks? ☐ yes ☒ no

APPENDIX I

2017 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND
EXEMPTION FORM SUBMITTED TO LAWPRO

Name of Firm: Goodmans LLP

Please see attached.

E-Filing History Details: P2094449

Firm Application for Professional Liability Insurance	RENEWAL
Firm Number	A041350
Firm Name	GOODMANS LLP
Date of Filing	03 NOV 2016
Time of Filing	11:46:15AM
Filed By	MICHELLE IEONG
Filer Phone No.	416-840-2578 x6076
Filer Email Address	bsonberg@goodmans.ca

General LAW FIRM Information

Contact Name	ANDREA ENGELS
Contact Title	DIRECTOR, FINANCE
Number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) and LAWYERS in LAW FIRM	199
Number of non-lawyer staff in LAW FIRM	283
Excess Liability Insurance	
Name of Insurer	CLLAS
Liability limits (above LAWPRO POLICY limits)	
Million per CLAIM	249
Million aggregate	278
Average Gross Billings	AVERAGE OVER \$500,000

LAW FIRM's Coverage Options

DEDUCTIBLE Option	\$25,000 EXPENSES & DAMAGES DEDUCTIBLE
Innocent Party Sublimit Coverage	250k
Restricted Area Of Practice Option	NO
Real Estate Practice Coverage Option	YES
Number of LAWYERS practising Real Estate	47

LAW FIRM's Premium Payment Options

Third Party Payor Authorization	NO
Payment Option	LUMP SUM
Payment method	CASH
Mail Payment Info	N/A
Requested Paper Invoice	NO

CLAIM(S) and POTENTIAL CLAIM(S)

Not yet reported	NO
------------------	----

Signature declaration has been acknowledged

Your Comments and/or Suggestions

[Privacy](#) | [Legal](#) | [Terms & Conditions](#) | [Refunds & Returns](#) | [Feedback](#)


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[practicePRO](#)
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[Careers](#)
[About LAWPRO](#)
[My LAWPRO > File Online > Firm Summary Form](#)

My LAWPRO®

Online 2017 Firm Summary Form

[FAQs](#)

NOTE: For the purposes of this Application, LAWYER means each person who holds a Class L1 licence pursuant to the by-laws of the Law Society Act.

Please review carefully. To make further changes or corrections before submitting, click on the Tabbed section of the application. Press the 'Submit now' button at the end of this review to complete the online filing.

A041350 GOODMANS LLP

[Instructions](#)
[Firm Information](#)
[Member List](#)
[Coverage Options](#)
[Payment Information](#)
[Warranty & Signature](#)

General LAW FIRM Information

1. Name of LAW FIRM: GOODMANS LLP
 Address: BAY ADELAIDE CENTRE
 #3400 - 333 BAY ST
 TORONTO, ON
 M5H 2S7
 Phone Number: 416-979-2211
 Fax Number: 416-979-1234
 E-mail address: bsonberg@goodmans.ca
 Firm Website(s): www.goodmans.ca
 Managing Partner/LAWYER: 23658R -- KENNETH WILLIAM CROFOOT
 Office Admin./Manager: BYRON SONBERG
 Claims Contact: 23658R -- KENNETH WILLIAM CROFOOT
 CPD Contact: 32978R -- NEILL IAN MAY
 Nature of Law Practice: Partnership - LLP
2. Contact name and title:
 Indicate the preferred firm contact for insurance matters.
 Name: Andrea Engels
 Title: Director, Finance
3. Number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in LAW FIRM in Ontario:
 Indicate the current number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in the LAW FIRM in Ontario.
199
4. Number of staff in LAW FIRM in Ontario who are not LAWYERS:
 Indicate the current number of staff in the LAW FIRM in Ontario who are not LAWYERS. Include all staff who provide law-related services who are directly or indirectly employed, whether through management, other companies or otherwise, by the LAW FIRM or by any of the LAWYERS in the LAW FIRM or any spouse including those EMPLOYEES who are casual or part-time EMPLOYEES. Independent contractors such as bookkeepers, PARALEGALS, researchers, etc. who are not EMPLOYEES of the LAW FIRM should not be included.
283

Review
Screen
1
FINAL

5. Excess liability insurance (optional):

Indicate the name of the insurer and excess liability insurance limits. Limits are in addition to the required \$1 million per CLAIM/\$2 million in the aggregate primary LAWPRO policy limits.

Name of insurer:

✓ Canadian Lawyers' Liability Assurance Society

Total Excess Liability Limits:

per CLAIM/aggregate above LAWPRO POLICY limits (for all Excess coverage carried)

\$ 249 Million per claim

\$ 278 Million aggregate

6. Volume Billings:

Indicate the Average Gross Billings per LAWYER in the LAW FIRM.

Average Gross Billings (select one):

AV14 - Average Gross Billings: over \$500,000

Firm Member List

Listed below are the names and LSUC numbers for all partners, associates and/or employed LAWYERS in the LAW FIRM, who are to be included in this application for Professional Liability insurance.

R - LAWYER Removed; **N** - New LAWYER; **U** - LAWYER info Updated.

LSUC#	Last Name	First Name	Status
45870H	ABOLS	GESTA ANDREW	
15518U	ALCAMO	WILLIAM VITO	
22958W	ALTON	JOHN DOUGLAS	
20832A	ANDERSON	JEAN ELIZABETH	
53624D	ANDRES	IAN DANIEL	
24705O	ANNAN	RICHARD STUART	
55148B	ARMSTRONG	CHRISTOPHER GEORGE	
60355I	BANDUK	SHAZIA	
32739L	BARKIN	IRA SAMUEL	
66189O	BAULKE	RYAN DOUGLAS	
49265F	BENEDETTI	ANNE KATHRYN	
25698P	BERG	IRA JONATHON	
31326M	BERG TRISTER	AMALIA	
33156N	BERRY	MAUREEN YVETTE	
51487W	BERTRAND	MICHAEL RYAN	
65806Q	BETTELO	ANDREA KELLY	
17693E	BLIDNER	MARK RICHARD	
65812D	BLOCK	DANIEL ANGUS	
39359B	BOWMAN	ALAN DAVID	
37016W	BRODKIN	ANDREW RYAN	
45343R	BRONSKILL	DAVID JEFFREY	
47083W	BUTTI	LAUREN RACHELLA	
52782A	CAPPE	DANIEL MICHAEL	
22293T	CARFAGNINI	JAY ANTHONY	
35165K	CHADWICK	ROBERT JAMES	
17720C	CHERNIN	LAWRENCE STEPHEN	
35415K	CIPPARONE	EUGENE ANTHONY GUY	
26857L	CITRON	JEFFREY ALAN	
31785J	CLARIZIO	PASCAL CARMINE DINO	
46828Q	COHEN	DANIEL GEORGE	
68379W	COHEN	JESSE-ROSS	
64357V	COLL-BLACK	DAVID WILLIAM ANDREW	
27241U	CONFORTI	JOSEPH MICHAEL	
34621M	CONKLIN	DAVID DANIEL	
50994N	CONNON	JOHN DANIEL	
61448D	COOKSON	WILLIAM RYAN	
32797G	CORLEY	RICHARD FERRAND DONALD	
39405O	COSENTINO	JOSEPH	
46582L	COSTA	CATERINA	
58551Q	COWPER-SMITH	GORDON GEOFFREY	
36670I	CREERY	KATHARINE MONICA	
23658R	CROFOOT	KENNETH WILLIAM	

65851G	D'ANGELO	ANTHONY TYLER
37787V	DE LUCA	NANDO
58249U	DEDIC	DAN
58251A	DESCOURS	CAROLINE IRENE
69953J	DI BARTOLOMEO	JULIAN JOHN
32814K	DIFIORE	MARIO
55510L	DUNN	MARK SAMUEL
63632M	EHRLICH	JENNIFER LAUREN
30640G	EMPEY	BRIAN FRANKLIN
30644N	ERNST	GLENN STEPHEN
35451C	FELDMAN	JASON SIDNEY
50263N	FELDMAN	JONATHAN ANDREW
68414W	FOX	CARLIE ELIZABETH
51288P	FREEMAN	JARRETT BLAKE
39062H	FREEMAN	SHELDON NEIL
31848L	FRIEDLAND	THOMAS ANDREW
29869H	GARVIE	SUSAN ALISON
70894O	GEROW	KYLE ALEXANDER
15616S	GOLDMAN	CALVIN SHELDON
68100F	GOLDRICH	KAYLA SARA
67765Q	GOLDSTEIN	KIRBY LEAH
34691Q	GOODMAN	ALLEN JEFFREY
40455H	GORMAN	WILLIAM RUSSELL
26199D	GORMLEY	DANIEL JOSEPH
47807U	GOSSELIN	BRENDA JOY
41687S	GREEN	BRAM JEFFREY
29887D	GUOLO	FRANCESCA MARIA
67777C	HABERT	JAMIE ADAM
52850Q	HACKETT	BENJAMIN JOSHUA ADAM
57429B	HALFIN	BRADLEY ROSS
26781E	HALPERIN	STEPHEN HOWARD
12739U	HARRIS	NEIL HOWARD
62202N	HATLELID	LYNDSAY ANNE
35906I	HEENEY	TIMOTHY CHARLES
30688T	HERLIN	KENNETH
64077Q	HOFFMAN	JOSEPH BENJAMIN
18816I	HOUSER	ROSLYN
36735C	HOWE	ROBERT DOUGLAS
64431B	HUBLING	EMILY SARAH
67794C	HUI	VANESSA
66321Q	JACKMAN	ELIZABETH ANNE
65551N	JACOB	RESA LESLEY
56815S	JACOBSON	TAMRYN LARA
51818U	JAFFE	GAIL RIVKA
61558K	JAMAL	KABIR
41703D	KAUFFMAN	SUZANNE MELISSA
16002T	KEEFE	JOHN ARNOLD
70633F	KENNEDY	THERESA ANN
32312W	KIMMEL	JESSICA ANN
65574M	KLERER	SETH MITCHELL
28504N	KOCH	MICHAEL STEVEN
54608K	KOLLA	PETER BRENT
29943K	KUSSNER	FRANCY BETH
23773P	LAMPE	JONATHAN DAVID
68482L	LASKIN	MAX ALEXANDER
23774L	LASTMAN	HOWARD DALE
32326A	LATHAM	LEE JOSEPH
44170U	LEDERMAN	DAVID EZRA
12982V	LEIBEL	ALLAN
44611C	LIU	YICK TO
31093M	LYONS	CATHERINE ANNE
70374H	MACCHIONE	SARAH CAROLINE
20694W	MACDONALD	THOMAS MICHAEL FRANCIS
42058L	MACKAY	KARI JUDITH
48108F	MANTELLO	LISA
21772U	MARK	ALAN HILARY
25900C	MATLOW	DAVID JUDAH
32978R	MAY	NEILL IAN
62580M	MAZZOLA	JAROLIM MARIANO
40939W	MCALISTER	MONIQUE ANN
43666K	MCGLAUGHLIN	GRANT EDWARD
43313N	MCGRATH	SHEVAUN RUTH
62291J	MIGHTON	JESSE EVAN
65637O	MIRSKY	ALI NITA

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43355E	MONTANINO	SANDRA JEAN
18906E	MORROW	JULI
34812R	NADLER	DAVID JACOB
34815F	NAIBERG	RICHARD EDWARD
43137F	NORTHUP	JON EVERETT
27041R	NOSKIEWICZ	MARK RICHARD
43331J	O'NEILL	BRENDAN DIONYS
55336S	OUANOUNOU	MELANIE
63804C	OUELLETTE	RACHEL TARA
55337O	PALLET-ARTHURS	HANNAH JANE
44968G	PARKER	TARA LEIGH
40611E	PARTRIDGE	MICHAEL JAMES
38390C	PASQUARIELLO	GIUSEPPE
59034I	PETHERBRIDGE	VICTORIA MELINDA
66046O	PETTYPIECE	CHARLES EDWARD
29633A	PINCUS	STEPHEN NEVILLE
69206F	PRAGER	MATTHEW WILLIAM
17569J	RADOMSKI	HARRY
55948N	RAMKARAN	LEAH MARY
58990C	RANCOURT	KATELYN ELIZABETH
50866K	RAULIUK	KIRK ROBERT
25957N	RHEA	CELIA KATHERINE
70729A	RICHARDS	ROBERT MICHAEL
52664O	ROBERTS	JENENE CRYSTAL
64586K	ROSENBLAT	DAVID JONATHAN
41011G	ROSENTHAL	JULIE GAIL
49416L	ROSS	BRADLEY ERIC
67911T	ROSSETTI	MATTHEW JOHN
39637O	ROTH	MICHELLE CHALENE
64747K	ROYAL	MICHAEL BERNARD PATRICK
17088E	RUBENSTEIN	GALE
25969W	RUBINOFF	FREDDY MICHAEL
38439P	RUBY	PETER DAVID
60582F	SADDINGTON	KENNETH WILLIAM
70451V	SCHOLES	JANE ELLEN
59071T	SCOPA	JORDAN DAVID
39650H	SCRIMGER	DAVID MICHAEL
64221E	SEGAL	MATTHEW JORDAN
52785L	SEIDMAN	JACLYN MIA
33477N	SHEEHY	NEIL MICHAEL
29675O	SHERMAN	MITCHELL JORDAN
60608T	SHNEER	MICHEL BETH
51411T	SHOGILEV	SANDON LEE
41031R	SHORE	JEFFREY HOWARD
33485P	SMIT	CARRIE BERNICE EVA
26377D	SMITH	GRAHAM DAVID
44231H	SPIRO	MARK ROBERT
28254N	STAMEGNA	CAROLYN PATRICIA SHIRLEY
58757S	STAUBITZ	NICHOLAS JOSEPH
42142I	STEWART	MICHAEL GORDON
54150Q	SUNSTRUM	CHRISTOPHER CLAUDE
23267J	SURCHIN	MARK ALAN
38937T	TAPE	CYNTHIA LYNNE
60868J	TING	EMILY KANG YU
45541F	TOPOLSKI	JERRY PAUL KRZYSZTOF
42155N	TUZI	ROCCO
61725U	VADASZ	KAREN MARIE
53879C	VAN	BELLE WILLIAM
60646D	VAN DIEPEN	JAMES JOANNES
39703P	VAUX	ROBERT ALEXANDER
62421I	VIGOD	MICHELLE Yael
46757M	WADDEN	JASON ALBERT
44063B	WAGNER	MELANEY JOAN
64659G	WAKELING	DANIEL ALLEN
65757P	WEIZEL	EMILY KATE
19192L	WETSTON	HOWARD ISADORE
21184U	WIENER	KENNETH ROBERT
64279L	WIFFEN	BRADLEY PATRICK COURTNEY
53894K	WILLIS	LOGAN ROBERT WILLIAM
64674O	WILSON	MICHAEL ANDREW
25190F	WISE	HOWARD MARK
30918I	WISEMAN	ANDREW STANLEY
39716U	WISEMAN	DAVID LEE
68629W	WOOLLEY	SAMANTHA LINDSAY

N U

N U

N U

52435C	YASSKIN	MICHAEL CHARLES
17247M	ZARNETT	BENJAMIN
23322U	ZITZERMAN	DAVID BRIAN

Number of LAWYERS practising in the firm: 193

The following LAWYERS are currently listed as members of your firm. However, they may not be automatically included in your firm's 2017 renewal, as additional filings may be required from the individual LAWYERS, as described below:

42851F	HILL	LINDSAY PENELOPE
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EXEMPT: C

This LAWYER is on a temporary leave of absence from practice.

- If the LAWYER intends to continue in this or another exemption category, he/she must complete and file an Exemption Form.
- If the LAWYER has returned or will return to practice with your firm in 2016 or 2017, he/she must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is no longer with your firm, please click the "Remove" button to the right of the LAWYER name.

70936J	KIM	JIHYUN ROSEL
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EXEMPT: A

This LAWYER has been exempt but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2016, he/she must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

70672I	MANDEL	MAX MOLOT
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EXEMPT: A

This LAWYER has been exempt but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2016, he/she must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

69846N	MCAULEY	RANDAL GREGORY
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EXEMPT: A

This LAWYER has been exempt but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2016, he/she must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

70085E	OLSCHER	REBECCA ANNE
--------	---------	--------------



EXEMPT: A

This LAWYER has been exempt but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2016, he/she must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

71072K	SPELLISCY	CONNOR DONOVAN DUNLOP
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EXEMPT: A

This LAWYER has been exempt but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2016, he/she must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

LAW FIRM's Coverage Options

7. DEDUCTIBLE Option:

\$25,000 DEDUCTIBLE applicable to claim expenses, indemnity payments, and/or costs of repairs together

8. Innocent Party Coverage submit:
\$250,000 per claim/aggregate
9. Restricted Area of Practice Option: Criminal and/or Immigration Law:
No
10. Real Estate Practice Coverage Option:
Yes

Number of LAWYERS who have REAL ESTATE practice option: 47 ✓

LAW FIRM's Premium Payment Options

11. Third-party payor authorization:
Please indicate here if you are going to pay using credit card or bank account information belonging to a third party.
No
12. Instalment Option:
Lump sum payment by cheque, dated and received by February 7, 2017 (eligible for \$50 plus PST per LAWYER discount).
16. Mail/fax your premium payment information:
No
17. 2017 Invoicing Information:
Your firm's 2017 insurance premium invoice will be available in electronic form from this website after the application is processed. A notice will be emailed to the following email address contacts in your firm when your invoice and policy documentation are available to view and download. If the email addresses for any of the following contacts are incorrect or not provided, please update your firm contact information by clicking 'Address Changes' AFTER you submit this application and receive your filing confirmation number.
- | | |
|--|------------------------|
| LAW FIRM Managing Partner/LAWYER: | (kcrofoot@goodmans.ca) |
| LAW FIRM Office Administrator/Manager: | (bsonberg@goodmans.ca) |
| LAW FIRM CPD Contact: | (nmay@goodmans.ca) |
| LAW FIRM CLAIMS Contact: | (kcrofoot@goodmans.ca) |
| Filer (as indicated in question 19): | (bsonberg@goodmans.ca) |

CLAIM(S) & POTENTIAL CLAIM(S)

18. All members and employees of your LAW FIRM should be canvassed to determine if any member or employee of the LAW FIRM is aware of any CLAIM(S) or POTENTIAL CLAIM(S) of which LAWPRO has not been notified under the Law Society of Upper Canada program.

Other than CLAIM(S) and POTENTIAL CLAIM(S) of which LAWPRO has been notified under the Law Society of Upper Canada program, is any present member or employee of the LAW FIRM aware of any CLAIM(S) or POTENTIAL CLAIM(S) that has (have) been or may be made against the LAW FIRM or against any other on whose behalf this Application is submitted?

No

Filer Information

19. Name, Phone and E-mail: (this information may be shared with the LAWYER(s) on whose behalf you are filing.)

Name: Michelle leong
Phone: 416-840-2578 x6076
E-mail: bsonberg@goodmans.ca
Your Comments and/or Suggestions:

A confirmation email will be sent to bsonberg@goodmans.ca upon submission.

Firm Summary Warranty & Signature

This Declaration shall constitute and form part of the 2017 Application Form for LAWPRO Professional Liability Insurance filed on behalf of each LAWYER in the Law Firm listed in the Member List.

It is understood, warranted and acknowledged that the undersigned LAWYER is authorized to act as agent for the purposes of this insurance on behalf of each of the LAWYERS. The LAWYERS each warrant and acknowledge that the information provided with this Application Form:

- is true, accurate and complete, or where estimates are required, that such estimates are reasonable;
- will be relied upon by LAWPRO in assessing risk, in offering any terms of insurance and in issuing any policy of insurance;
- will be the basis of and form part of any resulting policy of insurance; and
- the options selected on this form are requested to apply for 2017.

LAWYERS not currently carrying LAWPRO professional liability insurance coverage each acknowledge having read the [LAWPRO Personal Information Statement for Ontario LAWYERS and PARALEGALS \(LICENSEES\)](#) (which forms part of this Application Form). The LAWYERS consent to the collection, use and disclosure of personal information in any optional program(s) for which the LAWYERS choose to apply or are to be named as an INSURED/s in, now or in future policy years, in accordance with that Statement.

The LAWYERS each acknowledge his/her own on-going duty, through to the date of policy inception, to advise LAWPRO in writing of any material changes with respect to their practice circumstances.

☒ **Please check this box to evidence your signature for the Warranty Declaration above.**

If you wish to make a further change or correction, click on the appropriate Tab at the top of this form to return to the relevant section of the application. Then Review the application again.

Once you have reviewed your completed form and are satisfied that no additional changes need to be made, proceed as follows:

- *Print a copy of the Application for your records, using the print function in your browser.*
- *E-file your Application by clicking on the 'Submit now' button below.*
- *You will automatically be provided with a confirmation number once you have submitted your form, to verify that you have successfully completed the e-filing process. Record your confirmation number with your printed application.*

Submit now

For more information about this form, please contact LAWPRO Customer Service at: [1-800-410-1013](tel:1-800-410-1013), or [416\) 598-5899](tel:416-598-5899) in Toronto or via e-mail at service@lawpro.ca.

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